## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 15437-0545

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

## olications Under 37 CFR § 1.53(b))

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, <u>Matthew D. Birder</u>, entitled <u>ENHANCED MECHANISM FOR AUTOMATICALLY GENERATING A TRANSFORMATION DOCUMENT</u> for a(n):

TO THE COMMISSIONER FOR PATENTS:

31011 U.S. PTO 09/932110 08/16/01

| (X) | Original | Patent | Application |
|-----|----------|--------|-------------|
|-----|----------|--------|-------------|

| ( ) Continuing Application (prior application not abandoned):  ( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP) of prior application No:Filed on:  ( ) A statement claiming priority under 35 USC § 120 has been added to the specification. |        |
|--|--------|
| Enclosed are:  |        |
| (X) Specification 44 Total Pages; (X) Drawing(s) 14 Total Sheets; (X) Cover Sheet 1 Page   |        |
| (X) Oath or Declaration: 4 Pages   |        |
| (x) A Newly Executed Combined Declaration and Power of Attorney:   |        |
| (X) Signed. ( ) Unsigned. ( ) Partially Signed.  |        |
| ( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).  |        |
| ( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy  | of the |
| oath or declaration is supplied, is considered as being part of the disclosure of the accompanyi   | ng     |
| application and is hereby incorporated herein by reference.  |        |
| ( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2))   | •      |
| ( ) Power of Attorney. (X) Return Receipt Postcard.  | _      |
| (X) A Check in the amount of \$966.00 for the Filing   |        |
| ( ) Preliminary Amendment. ( ) Information Disclosure Statement and Form PTC   | -1449. |
| ( ) Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i).   |        |
| ( ) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.  |        |
| ( ) A Certified Copy of Priority Documents (if foreign priority is claimed).   |        |
| ( ) Applicant(s) is entitled to small entity status. See 37 CFR 1.27.  |        |
| ( ) Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.  |        |
| (X) Recordation of Assignment Cover Sheet and executed Assignment.   |        |
| ( ) Other  |        |

| CLAIMS AS FILED        |           |           |                  |           |  |  |  |
|------------------------|-----------|-----------|------------------|-----------|--|--|--|
| FOR                    | NO. FILED | NO. EXTRA | RATE             | FEE       |  |  |  |
| Total Claims           | 32        | 12        | \$18.00          | \$ 216.00 |  |  |  |
| Independent Claims     | 2         | 0         | \$80.00          | \$ 0.00   |  |  |  |
| Multiple Dependent Cla | \$0.00    |           |                  |           |  |  |  |
| Assignment Recording F | \$40.00   |           |                  |           |  |  |  |
| Basic Filing Fee       | \$710.00  |           |                  |           |  |  |  |
|                        |           |           | Total Filing Fee | \$ 966.00 |  |  |  |

Charge \$\_\_\_\_\_ to Deposit Account <u>50-1302</u> pursuant to 37 CFR § 1.25. Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

Bobby K. Truong/Reg. No. 37,499

Date: August 16, 2001

Correspondence Address:

Hickman Palermo Truong & Becker, LLP 1600 Willow Street

San Jose, California 95125-5106 Telephone: (408) 414-1080 Facsimile: (408) 414-1076 I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

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Date of Deposit: August 16, 2001

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| F | E | E | T  | R | A | N | S | M |     | Π | Γ, | A | L |
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Patent fees are subject to annual revision, Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT

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i H į. 1 (\$) 966.00

| Complete if Known    |                   |  |  |  |
|----------------------|-------------------|--|--|--|
| Application Number   | NYA               |  |  |  |
| Filing Date          | August 16, 2001   |  |  |  |
| First Named Inventor | Matthew D. Birder |  |  |  |
| Examiner Name        | NYA               |  |  |  |
| Group/Art Unit       | NYA               |  |  |  |
| Attorney Docket No.  | 15437-0545        |  |  |  |

| METHOD OF PAYMENT (check one)                                      | FEE CALCULATION (continued) |          |                            |                |   |                        |          |
|--|-----------------------------|----------|----------------------------|----------------|---|------------------------|----------|
| 1 Throughout the pendency of this application, please charge       | 3. A                        | DDITI    | ONAL                       | FEES           |   |                        |          |
| any additional fees, including any required extension of time      |                             | ntity    | Small E                    | ntity          | Fee Descriptio                                | n                      | Fee Paid |
| fees, and credit all overpayments to deposit account 50-           |                             | Fee<br>§ |                            | Fee<br>§       |   |                        |          |
| 1302. A duplicate of this sheet is enclosed.                       |                             | -        |                            | -              |   |                        |          |
| Deposit<br>Account 50-1302   | 105                         | 130      | 205                        | 65             | Surcharge – late filing fee o                 | r oath                 |          |
| Number 30-1302   | İ                           |          |                            |                |   |                        |          |
| Deposit Train Bill Train A Bill TIP                                | 127                         | 50       | 227                        | 25             | Surcharge – late provision                    | nal filing fee or      |          |
| Account Name Hickman Palermo Truong & Becker, LLP                  | 1 '-'                       | 00       |                            | 20             | cover sheet.                                  | at thing 100 of        |          |
| Name   |                             |          |                            |                |   |                        |          |
| 2. X Payment Enclosed:   | 139                         | 130      | 139                        | 130            | Non-English specification                     |                        |          |
|  | 112                         | 920*     | 112                        | 920*           | Requesting publication of                     | SIP prior to           |          |
| Check Money Order Other  | 112                         | 920      | 112                        | 920            | Examiner action                               | Silv prior to          |          |
|  | 113                         | 1,840*   | 113                        | 1,840*         | Requesting publication of                     | SIR after              |          |
| 3. Applicant(s) is entitled to small entity status.                |                             |          |                            |                | Examiner action                               |                        |          |
| See 37 CFR 1.27  |                             |          |                            |                |   |                        |          |
|  | 115                         | 110      | 215                        | 55             | Extension for reply within                    | first month            |          |
| FEE CALCULATION  |                             |          |                            |                |   |                        |          |
| 1. BASIC FILING FEE  | 116                         | 390      | 216                        | 195            | Extension for reply within                    |                        |          |
| Large Entity Small Entity  | 117                         | 890      | 217                        | 445            | Extension for reply within                    |                        | <u> </u> |
| Fee Fee Fee Fee Description  Code (\$) Code (\$) Fee Paid          | 118                         | 1,390    | 218                        | 695            | Extension for reply within                    | fourth month           |          |
| 101 710 201 355 Utility filing fee 710.00                          | 128                         | 1,890    | 228                        | 945            | Extension for reply within                    | fifth month            |          |
| 106 320 206 160 Design filing fee                                  | 119                         | 310      | 219                        | 155            | Notice of Appeal                              |                        |          |
| 107 490 207 245 Plant filing fee                                   | 120                         | 310      | 220                        | 155            | Filing a brief in support of                  | an appeal              |          |
| 108 710 208 355 Reissue filing fee                                 | 121                         | 270      | 221                        | 135            | Request for oral hearing                      |                        |          |
| 114 150 214 75 Provisional filing fee                              | 138                         | 1,510    | 138                        | 1,510          | Petition to institute a publ                  | ic use proceeding      |          |
|  | 140                         | 110      | 240                        | 55             | Petition to revive - unavo                    | idable                 |          |
| SUBTOTAL (1) (\$) 710.00   | 141                         | 1,210    | 241                        | 605            | Petition to revive - uninte                   |                        |          |
| 2. EXTRA CLAIM FEES  | 142                         | 1,210    | 242                        | 605            | Utility issue fee (or reissu                  | e)                     |          |
| Fee from Extra Claims Below Fee Paid                               | 143                         | 430      | 243                        | 215            | Design issue fee                              |                        |          |
| Total Claims 32 -20**= 12 X 18.00 = 216.00                         | 144                         | 580      | 244                        | 290            | Plant issue fee                               |                        |          |
| Independent 2 - 3**= 0 X 80.00 = 0.00                              | 122                         | 130      | 122                        | 130            | Petitions to the Commiss                      | ioner                  |          |
| Multiple Dependent =   | 123                         | 50       | 123                        | 50             | Petitions related to provis                   | ional applications     |          |
| **or number previously paid, if greater; For Reissues, see below   | 126                         | 240      | 126                        | 240            | Submission of information                     | n Disclosure Stmt      |          |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description          | 581                         | 40       | 581                        | 40             | Recording each patent as                      |                        | 40.00    |
| Code (\$) Code (\$)  |                             |          |                            |                | property (times number o                      |                        |          |
| 103 18 203 9 Claims in excess of 20                                | 146                         | 690      | 246                        | 345            | Filing a submission after (37 CFR § 1.129(a)) | final rejection        | 1        |
| 102 80 202 40 Independent claims in excess of 3                    | 149                         | 690      | 249                        | 345            | For each additional inven                     | ition to be            |          |
| ·  |                             |          |                            | •              | examined (37 CFR § 1.12                       |                        |          |
| 104 270 204 135 Multiple dependent claim, if not paid              | 04                          | (        | 6.3                        |                |   |                        |          |
| 109 80 209 40 **Reissue independent claims<br>over original patent | Otner                       | ee (spe  | спу) _                     |                |   |                        |          |
| 110 18 210 9 **Reissue claims in excess of 20                      | Other f                     | ee (spe  | cifv)                      |                |   |                        |          |
| and over original patent   | -                           |          | • •                        |                |   |                        |          |
| SUBTOTAL (2) (\$) 216.00   | *Reduc                      | ced by E | Basic Filir                | ng Fee F       |   |                        |          |
| SUBMITTED BY   |                             |          |                            |                | Co  | mplete (if applicable) |          |
| Name (Print/Type) Bobby K/Truong                                   |                             | 1        | Registratio<br>(Attorney/A | n No<br>\gent) | 37,499 Te                                     | elephone (408)         | 414-1080 |

Signature

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August 16, 2001